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EMPLOYEE MANUAL
FOR
POLK COUNTY
DEPARTMENT HEADS

July, 2009

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Preamble

This manual was developed to describe and outline some of Polk County's policies, procedures, employment benefits and other matters to you as a County employee. Its provisions are subject to change.

Some of the policies and benefits described here, such as Group Insurance Plans, are covered in detail in official policy documents. You should refer to these documents for specific information, since this manual only briefly summarizes those benefits. Please note that the terms of the written policy are controlling.

This manual in no way alters the nature of the employment relationship. All County employees who are employed without a specific written contract of employment entered into by the County Board of Supervisors have the right at all times and at their discretion to terminate the employment relationship. Similarly, the County has the same right.

This manual is not intended to create any contractual rights in favor of you or the County. The County reserves the right to change the terms of this manual at any time, by written resolution passed at a Board of Supervisors' meeting. Any promises, representations, or actions by a Polk County official or employee which are contrary to this manual are not the official policy of the County, and are of no force and effect. No such promises, representations, or actions shall be construed or interpreted to alter the "at will" status of the employees covered by this manual.

Discipline

The Board of Supervisors recognizes that discipline is a very personal matter which necessarily must take into account any number of facts and circumstances. Discipline is discretionary with the Board of Supervisors for employees responsible directly to the Board of Supervisors.

Discipline for employees covered by this manual need not be graduated or progressive. Disciplinary action(s) may include any personnel action(s), up to and including termination, for non-exempt employees. Discipline for exempt employees may, however, include any personnel action(s) except reductions in salary for jury duty, military leave, or attendance to a legal proceeding pursuant to a subpoena. (The term "exempt" and "non-exempt" employees refer to those terms as defined by the Fair Labor Standards Act and implementing regulations.)

Neither the assessment of discipline in any instance nor the non-assessment of discipline in any instance shall be construed or interpreted by Polk County or any of its employees to alter the "at will" status of the employees covered by this manual.

Termination as a form of discipline is the responsibility of the Board of Supervisors for employees responsible directly to the Board of Supervisors.

Letters of Reference and Recommendation

When any County official or employee receives a request for job information about a present or former County employee, the request shall be referred to the Human Resources Department. The Human Resources Department shall only release non-confidential information including but not limited to dates of employment, job description, and salary.

No letter of reference or recommendation concerning a present or former County employee shall be written on County letterhead or enclosed in County envelopes.

Any County official or County employee writing a letter of reference or recommendation on behalf of a present or former County employee shall make it clear that the opinions contained in the letter are purely personal and do not reflect the official position of Polk County and its elected officials.

Performance Appraisals

All County employees covered by this manual may be asked to write position descriptions, complete work plans, and receive annual written performance appraisals. The purpose of a performance appraisal is not to replace the daily feedback that occurs in the workplace but to chart significant work related events occurring since the last appraisal, to evaluate whether goals or objectives set in the last appraisal have been met in whole or in part, to evaluate whether significant problems noted in the last appraisal have been corrected in whole or in part, to identify significant problems occurring since the last appraisal and to set up new goals and objectives if applicable.

The performance appraisal shall be signed by the person making the appraisal and by the person being

appraised. The employee being appraised may add his or her own written comments, without limitation, to the appraisal.

Neither the giving of an appraisal, nor the failure to give an appraisal, shall be construed by Polk County or its employees as altering the "at will" status of the employees covered by this manual.

Compensation

Employees covered by the provisions of this manual shall be compensated in accordance with their responsibilities. Salaries will be reviewed annually and adjusted as appropriate each July 1st to maintain consistency with competitive pay practices.

Salaries can be located at the Human Resources website:

<http://www.polkcountyiowa.gov/humanresources/>

Hours of Work

Flexibility in the regular workday is permitted providing operational efficiency is maintained.

Length of Service

The length of an employee's continuous service with the County is calculated from the employee's most recent date of hire. Active and continuous County employment shall be used for the purpose of calculating vacation and severance entitlement.

Service as a department head is not applicable to bargaining unit seniority if the employee should, at a later date, occupy a County bargaining unit position.

Neither the consideration of length of service in any instance nor the failure to consider length of service in any instance shall be construed or interpreted by Polk County or its employees to alter the "at will" status of employees covered by this manual.

Holidays

The following shall be recognized as paid holidays:

New Year's Day	The 1 st day of January
Martin Luther King, Jr. Day	The 3rd Monday in January
President's Day	The 3rd Monday in February
Memorial Day	The last Monday in May
Independence Day	The 4th day of July
Labor Day	The 1st Monday in September
Veterans Day	The 11th day of November
Thanksgiving Day	The 4th Thursday in November
Friday after Thanksgiving	The 4th Friday in November
Christmas Eve Day	The 24 th day of December
Christmas Day	The 25th day of December

Any other day declared to be a holiday by the County Board of Supervisors shall be deemed a holiday.

In those cases in which the holiday falls on a Saturday, it shall then be observed on the preceding Friday,

and in those cases in which the holiday falls on a Sunday, it shall be observed on the following Monday, except if one, but not both, Christmas Eve Day or Christmas Day should fall on a Saturday or Sunday. When Christmas Eve Day falls on a Sunday it shall be observed on the preceding Friday. When Christmas Day falls on a Saturday it shall be observed on the following Monday. When Christmas Day falls on a Thursday, Christmas Eve shall not be observed but rather the day after Christmas will be observed on Friday instead. Employees in continuous operations or with irregular work schedules shall observe the actual day. When a holiday occurs during a leave of absence for which an employee received compensation, the holiday will not be counted as part of the leave of absence.

Vacation

Vacation leave shall be credited as follows and may be used as credited:

<u>Service</u>	<u>Vacation</u>
Less than four (4) years	20 days
More than four (4) years	25 days

Annual vacation days shall be credited as of July 1st. In the event of termination, annual vacation shall be deemed earned on a pro-rated basis for the purpose of calculating vacation payout.

The maximum vacation accumulation a department head will be permitted to carry over into the succeeding fiscal year is 35 days. The excess shall be forfeited without remuneration for same.

When a holiday occurs during an assigned vacation, it will not be counted as part of the vacation time.

During the last full payroll period in November of each year, a department head that has accrued 200 or more hours of unused vacation time, may elect to cash out up to 40 hours of unused vacation time.

Upon termination, for any reason, the amount of wages due shall include all earned, unused vacation, in addition to any other benefit received.

Personal Days

Department heads shall be granted seven (7) personal days per fiscal year. One (1) personal day may be carried from fiscal year to fiscal year. Personal days shall not be granted if unused, to any employee upon retirement, termination (other than permanent layoff), or discharge.

Health/Dental/Vision Insurance

Department heads may receive the following health/dental/vision plan for either single or family coverage at the County's expense:

Wellmark Blue Choice Point of Service (POS)
Principal Dental
Avesis Vision Plan

Life Insurance

Department heads shall receive term life and accidental death and dismemberment insurance coverage in an amount equal to their salary rounded to the nearest thousand dollars.

Department heads may elect to enroll, on a payroll deduction basis, in Supplemental term life insurance for themselves, their spouse and/or their children. Information and rates for the term life insurance can be found at the Human Resources intranet website: <http://intranet.co.polk.ia.us:8080/>

Commuter Choice Program

All Department Heads eligible for benefits may elect to participate in Polk County's Commuter Choice Plan. The plan consists of two accounts one for mass transit and another for parking.

Employee contributions are made through payroll deductions on a pre-tax basis with the minimum parking expense being fifty dollars (\$50) per month and a maximum of one hundred fifteen dollars (\$115) per month for mass transit and a maximum of two hundred twenty dollars (\$220) per month for qualified parking. Employees do not have to use their monthly contribution each month. They may carry over unreimbursed account balances from month to month, and year to year.

The County shall provide a subsidy of fifty dollars (\$50) for parking downtown. This subsidy will be provided on a monthly basis to Department heads who are not provided free parking.

Vehicle Program

The Public Works Director is eligible to have a County vehicle provided for his/her use. All other department heads are eligible to receive mileage reimbursement in accordance with county policy.

Deferred Compensation Program

All Department Heads are eligible to participate in Polk County's Deferred Compensation Program. This program offers employees an opportunity to save for retirement on a pre-tax basis. Department Heads are eligible for deferred compensation match of \$1.00 for each \$2.00 contributed by the department head up to a maximum of one (1) percent of his/her base salary.

Sick Leave

Eligibility and Accrual

Department heads (except for Department heads who elected conversion to Paid Time Off) shall accrue sick leave at a rate of eight (8) hours per bi-weekly pay period to a maximum of 2,000 hours of accrued leave. Usage based on illness or disability is permitted upon accrual but may not exceed the amount of accumulation.

Charged Per Use

Sick leave shall be charged in one-half (1/2) hour increments. Usage includes first day illness, medical

appointments or emergencies of the employee, the employee's spouse, legal parent or child, and illness of the employee's spouse, legal parent or child.

A request for sick leave shall indicate whether the leave is for Family and Medical Leave purposes as set forth in this manual.

Payment of Sick Leave Upon Termination

Upon termination, for other than election of a bona-fide retirement by the Department head, a Department Head shall receive cash payment for a percentage of their accumulated, unused sick leave in accordance with the following schedule, payable in their last pay period. No cash payment shall exceed \$2,000.

Years of Service	Percentage
4 through 10 years	20%
10 through 15 years	25%
15 through 20 years	30%
20 through 25 years	35%
Over 25 years	40%

Payment of Sick Leave Upon Retirement

Upon bonafide retirement with IPERS, department heads shall receive a cash payout of up to \$4,000 for accumulated, unused sick leave converted at the employee’s current hourly rate. The remainder of the converted balance of accrued sick leave shall be converted to a bank for the purposes of purchasing health insurance after retirement. The election for conversion must be made before the employee’s retirement date. If no election is made, the remaining balance will be forfeited. The sick leave balance for computing the conversion rate will be the employee’s balance before the received cash payment. The Department Head’s sick leave balance will be converted according to the following schedule:

<u>Sick Leave Balance</u>	<u>Conversion Rate</u>
0 up to and including 749 hours	0% of value
750 up to and including 1,000 hours	75% of value
Over 1,000 hours up to 2,000 hours	100% of value

Polk County will continue to pay the entire amount of the COBRA health insurance premium each month until the converted value of the department head’s sick leave bank is exhausted or until the Department Head is eligible for Medicare, whichever comes first. The retired Department Head may stay with the same health insurance program as when employed, or elect to decrease their coverage options at any time without underwriting.

The converted value of the sick leave can only be applied to COBRA health insurance premiums. It has no cash value and it is not transferable to another use or to an heir.

An employee’s eligible dependent(s) whose coverage ends when the employee reaches Medicare eligibility may elect at their own expense to continue participation in the health insurance plan through COBRA for

three years. When the maximum COBRA period has been exhausted, continuation in the group health insurance plan will be offered to an employee's eligible dependent(s) at their own expense as authorized by Code of Iowa Section 509.A.13.

Paid Time Off

Department heads that who elected conversion to Paid Time Off shall accrue PTO at a rate of eight (8) hours per bi-weekly pay period to a maximum of 2,000 hours of accrued leave. Usage based on medical necessity or disability is permitted upon accrual but may not exceed the amount of accumulation. PTO may also be utilized for personal leave purposes if all vacation and personal leave time is exhausted.

Department heads with PTO, that end employment with Polk County, will receive a payout of their PTO leave pursuant to the schedule outlined below.

<u>Years of Service</u>	<u>Percentage</u>
4 through 10 years	20%
10 through 15 years	25%
15 through 20 years	30%
20 through 25 years	35%
Over 25 years	40%

Donated Time

Department heads may not receive but may donate up to eight (8) hours of earned vacation or personal leave to an eligible employee who has been approved for a paid leave of absence as authorized under the County's Family and Medical Leave Act Policy and the Collective Bargaining Agreement, but does not possess the necessary earned hours of leave or is not eligible for disability income benefits. An eligible employee is defined as a regular full-time employee with at least 12 months of continuous employment and who has worked at least 1250 hours during the preceding 12 months at the time of the employee's application for FMLA leave.

Sickness and Accident Income Plan

The County's Sickness and Accident Income Plan provides funds to reduce the loss of income which may result from an employee's total disability.

The County in deciding eligibility, or duration of sickness and accident income benefits, retains the right to require an examination by a physician of the employer's choice and expense for the purpose of a second opinion. The decision of the Board of Supervisors is final.

Application for this benefit shall be made with the County Director of Human Resources on forms provided by the employer. An employee making a claim for benefits agrees to the release of all medical

information to which the employee has access to concerning the employee's physical or mental condition relative to the claim and further waives any privilege for the release of the information.

Benefits will be paid in accordance with the following schedule but only after the disabled employee has exhausted all accumulated sick and vacation leaves.

Service	Benefit Formula	Maximum Benefit Period
Less than six (6) months	Not Eligible	Not Eligible
More than six (6) months	66 ² / ₃ ds of regular weekly salary	26 weeks

Coverage under this plan will cease after twenty-six (26) weeks or when the employee is released to return to his/her regular duties, whichever occurs first. The employee may not engage in any occupation, work or employment for wages or profit during any period of disability.

If a disabled department head either is or becomes eligible for income from other sources, such as Social Security Disability or Iowa Workers' Compensation, the income shall only be the difference between the maximum allowed under this plan and that received from other sources.

Long-Term Disability

The County's Long-Term Disability Income Plan provides funds to reduce the loss of income which may result from long-term total disability.

The County in deciding eligibility or duration of long-term disability benefits retains the right to require an examination by a physician of the employer's choice and expense for the purpose of a second opinion. The decision of the Board of Supervisors is final.

Application for this benefit shall be made with the County Director of Human Resources on forms provided by the employer. An employee making a claim for benefits agrees to the release of all medical information to which the employee has access concerning the employee's physical or mental condition relative to the claim and further waives any privilege for the release of the information.

Benefits will be paid in accordance with the following schedule after the disabled department head has exhausted accumulated sick leave, vacation leave and all benefits of the County's Sickness and Accident Income Plan.

Benefit formula.....50% of regular weekly salary
 Maximum benefit period24 months

Coverage under this plan will cease after 24 months or when the employee is released to return to his/her regular duties, whichever occurs first. The employee may not engage in any occupation, work or employment for wages or profit during any period of disability. If a disabled department head either is or becomes eligible for income from other sources, such as Social Security Disability or Iowa Workers' Compensation, the income provided herein shall only be the difference between the maximum allowed under this plan and that received from other sources.

Unpaid Leaves of Absence

Eligibility

Department heads may request unpaid leave for reasons specified under the Family and Medical Leave provisions.

Application for Leave

All requests for unpaid leave of absence must be made in writing and approved by the department head's immediate supervisor and shall be submitted to the Director of Human Resources stating the reasons for and duration of the leave. The request for leave is subject to approval by the Board of Supervisors.

An employee whose request for unpaid leave is due to a serious health condition, but is otherwise not eligible for Family and Medical Leave, shall commence leave when the employee's physician certifies he/she is unable to perform his/her job duties. Leave shall terminate after six (6) months or when the employee's physician certifies he/she is able to return to his/her regular duties, whichever occurs first.

The employer retains the right to obtain a second opinion from another physician, at the expense of the employer, from which a final determination shall be made of the commencement or termination of leave. An employee requesting an unpaid leave of absence due to a serious health condition and not subject to Family and Medical Leave agrees to the release of all information which the employee has access concerning the employee's physical or mental condition relative to the request for unpaid leave and further waives any privilege for the release of the information.

For employees requesting unpaid leave, not otherwise subject to Family and Medical Leave provisions, all benefits, unless otherwise specified, shall cease during the unpaid leave of absence.

Special Leave without Pay

The Board of Supervisors has discretion to authorize special leave of absence without pay for any period up to six (6) consecutive calendar months. Such leaves include, but are not limited to, educational and public official leave. Such leaves may be renewed for additional periods of up to six (6) consecutive calendar months upon the request of the employee if agreed to by the Board of Supervisors.

Extended Sick Leave of Absence without Pay

A department head whose serious health condition makes him/her unable to perform his/her job functions, as certified by a physician, in accordance with the Family and Medical Leave provisions, and whose condition extends beyond the expiration of his/her accumulated leave, may be granted an unpaid leave of absence not to exceed six (6) consecutive calendar months.

Parental Leave

A department head shall be eligible for parental leave in accordance with the Family and Medical Leave Policy of the County. If a department head is not eligible for nor entitled to parental leave under the County's Family and Medical Leave Policy, he/she may be granted parental leave for a period not to exceed thirty (30) regularly-scheduled work days. (This does not include employees who have exhausted their annual FMLA leave.). Employees may utilize available accrued leave if County policies permit their use for any part of the parental leave period. Otherwise, the leave will be unpaid. Parental leave may be used

for birth, or newly placed adopted or foster child. The request for parental leave must be made within

ninety (90) calendar days of the birth or placement for adoption or foster care of a child.

Notwithstanding the above, when a husband and wife are entitled to non-FMLA parental leave under County policy, they will be limited to a combined total of thirty (30) days of parental leave.

In the case of an employee's own pregnancy, the employee shall be permitted up to eight weeks of medical leave after the birth of a child in accordance with Chapter 216 of the Iowa Code and Title VII.

Written application for parental leave, whether under the Family and Medical Leave Policy or otherwise, shall include a statement from the attending physician indicating the date the employee who has given birth to a child is capable of returning to employment, or for a father or for an employee who has adopted or received a foster child, a certified record of the date of birth or placement of the child with the employee.

Insurance Benefits While on Unpaid Leave of Absence

Premiums for insurance normally paid by the employer shall be paid in full by the employee during an approved, unpaid leave of absence, which is not part of Family and Medical Leave, if the employee elects to continue coverage. Employees on approved, unpaid parental leave and unpaid sick leave of absence which is not part of Family and Medical Leave will pay their own insurance premiums after the first ninety (90) calendar days of absence.

Termination for Failure to Return

If the employee does not return to work upon expiration of the unpaid leave of absence, he/she will be terminated.

Paid Leaves of Absence

Civic Duty Leave

Department heads shall be granted a paid leave of absence for assigned work time lost when subpoenaed or called to serve on jury duty. He/she shall assign to the employer all remuneration received for this service.

Military Leave

Military leave will be granted in accordance with Chapter 29A of the Code of Iowa and applicable federal law.

Bereavement Leave

Department heads shall be granted up to four (4) days off with pay for absence necessary and reasonable in case of death in her/his immediate family.

In cases involving unusual out-of-state travel, one (1) additional day off may be granted for travel over five hundred (500) miles one way.

Immediate family shall be defined as: spouse, child, stepchild, parent, stepparent, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother, step-brother, sister, step-sister, brother-in-law, sister-in-law, grandparent, grandchild, or other relative living within the same household. Requests for exceptions should be addressed to the Director of Human Resources.

Physical Examination

Those department heads whom elect to complete an annual comprehensive physical examination will be reimbursed for all costs not covered by the current insurance carrier for the examination. Claims for reimbursement must be submitted on the appropriate forms to the Director of Human Resources before processing by the Auditor's Office for payment.

Doctor's verification certifying the completion of the examination should be forwarded to the Director of Human Resources no later than June 30 of each fiscal year.

Severance Pay

Severance pay to a department head in the amount equal to one week's pay or proration thereof, for each year of service with the County shall be paid to the individual eligible upon:

- Discharge or resignation asked for by the Board of Supervisors or appointing authority for any reason other than willful misconduct, corruption, extortion, conviction of a felony, or violation of chapter 68B, Government Ethics and Lobbying, of the Iowa Code;
- Layoff or reduction in work force;
- Demotion from department head status if the individual has served in a permanent status as a department head for five (5) consecutive years; demotion means any action that results in the department head taking a different County position which is not covered by the provisions of this manual;

Department heads shall be given the option of receiving severance pay in a lump sum, effective the first regular pay period following their last work day as a department head, or having the benefit paid in equal installments, not to exceed 78 payments, during the immediate succeeding regular pay periods.

On the date of termination, department heads that elect lump sum payment will not be covered by fringe benefit plans, including insurance coverage, unless otherwise provided by the carrier. Department heads who elect to receive severance pay in the form of regular paychecks during the severance period shall continue to be covered by health and life insurance benefits. All other benefits and insurance plans shall cease on the date of termination.

Honoraria, Gifts, Conflicts of Interest And Lobbying

All employees shall comply with the provisions of Iowa Code sections 68B.22, 68B.23, 68B.36 regarding gifts, honoraria, and lobbying. Additionally, all employees shall comply with the provision of Iowa Code sections 68B.2A, 314.2 and 331.342 regarding conflicts of interest. A copy of the Code sections listed above are available to employees upon request at the Human Resources Department.

Training and Education

Department heads required or permitted to attend a college class, seminar or conference will not suffer any loss in pay for attendance. Voluntary attendance at a degree granting college or university shall be on the employee's own time. The department head will be reimbursed for registration fees, books, tuition, materials, transportation, housing and meals, in accordance with the County's training, tuition reimbursement, and travel policies.

Personnel Files

An employee may have access to his/her own personnel file as maintained by their department/office and/or the Human Resources Department. Employees may review the contents of their file during non-working hours at a time mutually agreed upon by the employer and the employee, except the employee will not have access to, or be able to review, letters of reference received or furnished by the employer or any reference check performed by the employer. Employees may, at their own expense, request and receive copies of the contents of their personnel file, except as provided for in the previous paragraph.